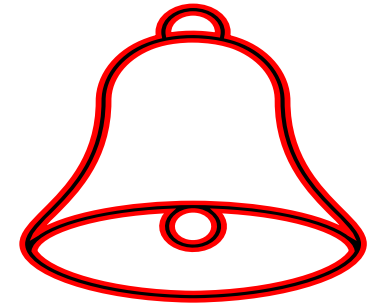
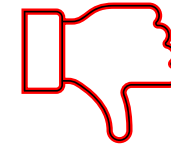




WTO Notification Requirements: Background and Procedures



NOTIFICATIONS : WHY?



ADVANTAGES

Transparency

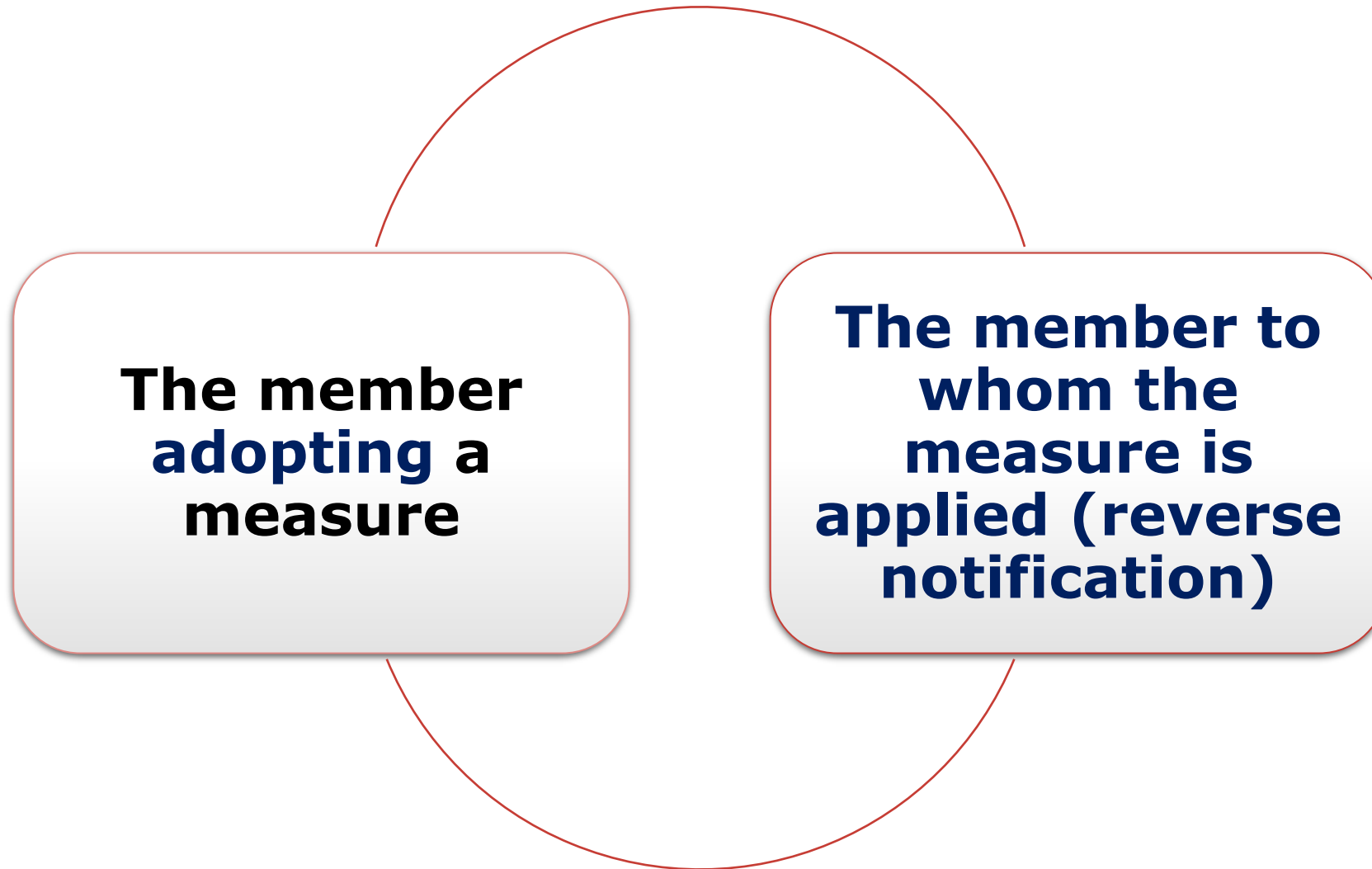
Collective surveillance

DISADVANTAGES

Should Members notify everything?

**Costs:
production, circulation, analysis**

NOTIFICATIONS: WHO?



NOTIFICATIONS : HOW? (2)

WHAT NEEDS TO BE DONE?



NATIONAL COORDINATION



DATA PREPARATION



PRESENTATION



**REVIEW: ORAL
AND WRITTEN QUESTIONS**

NOTIFICATIONS : WHEN?



Legislation



**Changes in
legislation**



**Stock:
Measures in
place**



**Changes:
Measures
taken in a
given period**



**Step-by-step:
individual
actions**

NOTIFICATIONS: HOW? (1)



GUIDELINES



FORMATS



**SAMPLES (NOTIFICATIONS
ALREADY SUBMITTED)**

See Technical Cooperation Handbook on Notification Requirements:

https://www.wto.org/english/tratop_e/handbook_on_notifications_complete_e.pdf

NOTIFICATIONS:

Central Registry of Notifications (CRN) WT/INF/25/Rev.3

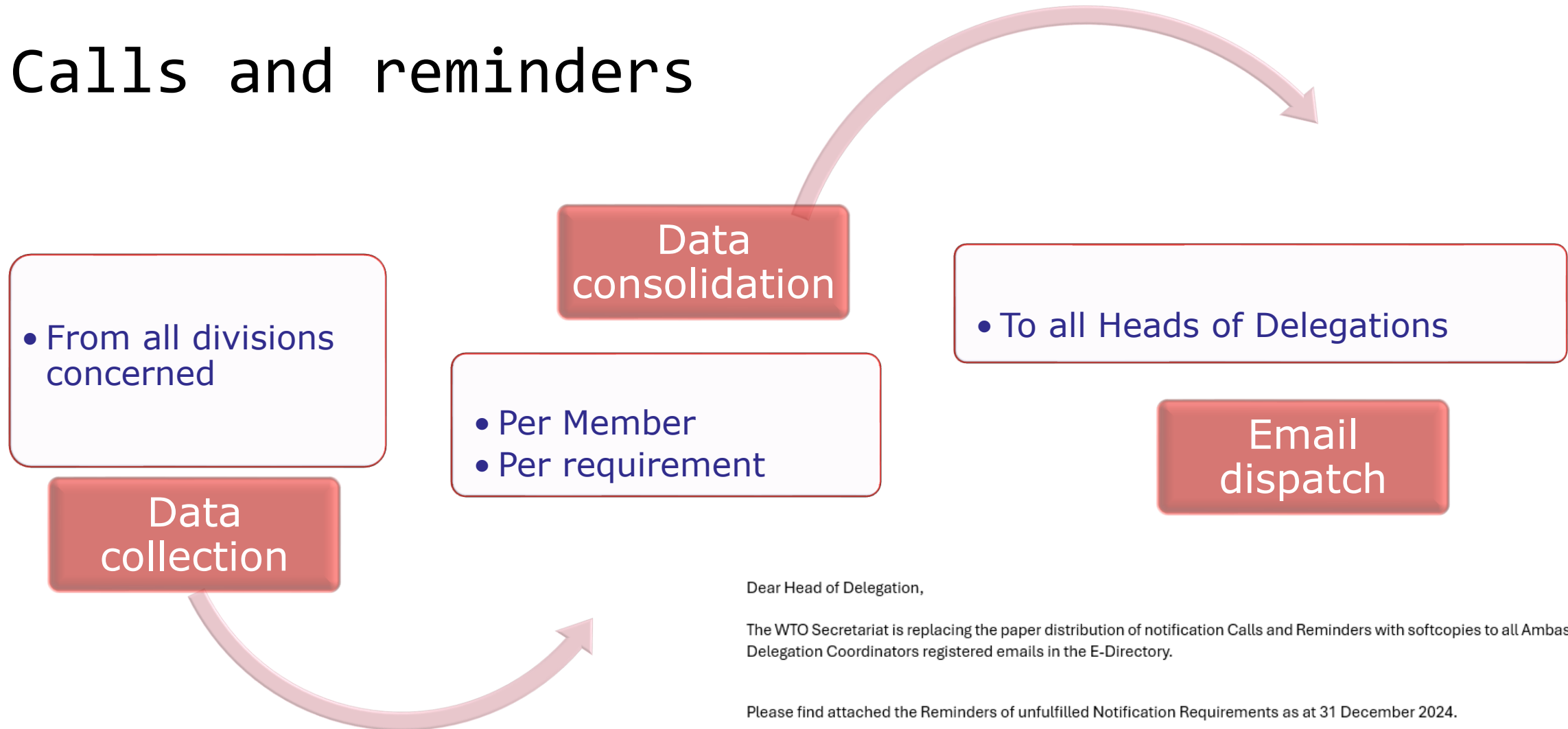


**A call every year for each Member -
“tailor-made” listing of *regular* notifications**



Reminders - “tailor-made” list of unfulfilled *regular* notifications

Calls and reminders



Dear Head of Delegation,

The WTO Secretariat is replacing the paper distribution of notification Calls and Reminders with softcopies to all Ambassadors and Delegation Coordinators registered emails in the E-Directory.

Please find attached the Reminders of unfulfilled Notification Requirements as at 31 December 2024.

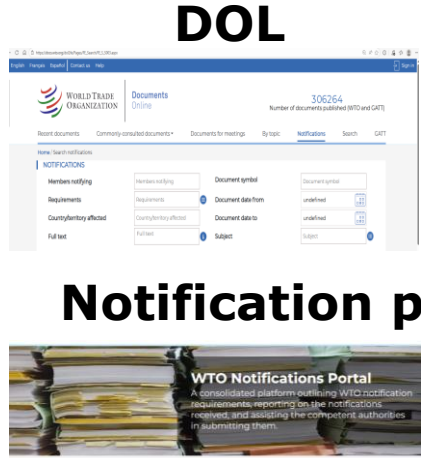
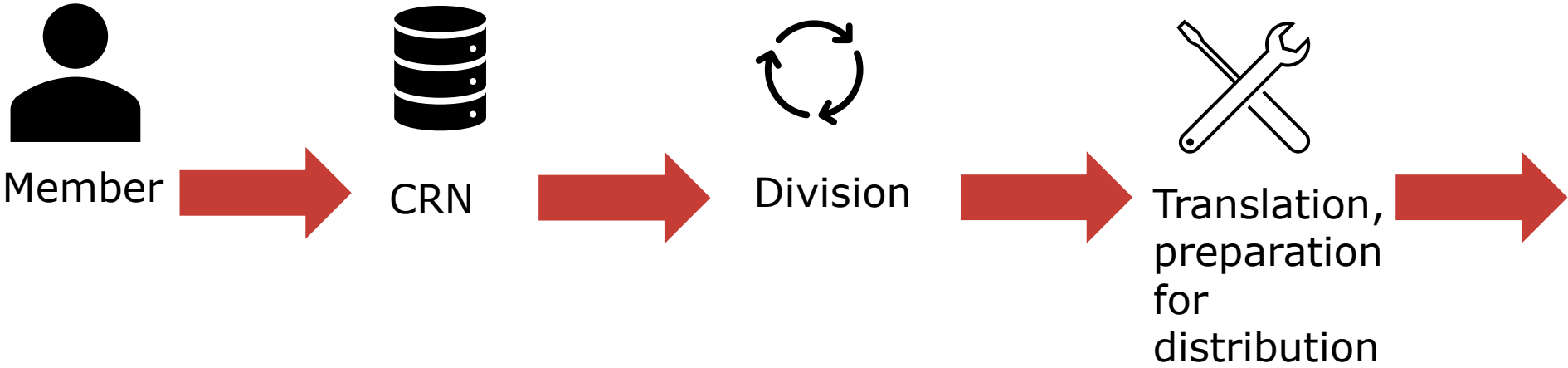
If you wish to have others included as additional recipients of softcopies of future Calls and Reminders, or would like to also receive paper copies, please send a request to crn@wto.org.

Best Regards,

CRN

From submission to publication

Central Registry of Notifications (CRN) **WT/INF/25/Rev.3**



Notification status

NOTIFICATIONS: THE OTHER SIDE

What to do with other countries' notifications?

CIRCULATION

ANALYSIS

QUESTIONS

DISSEMINATION

List of Notification Requirements

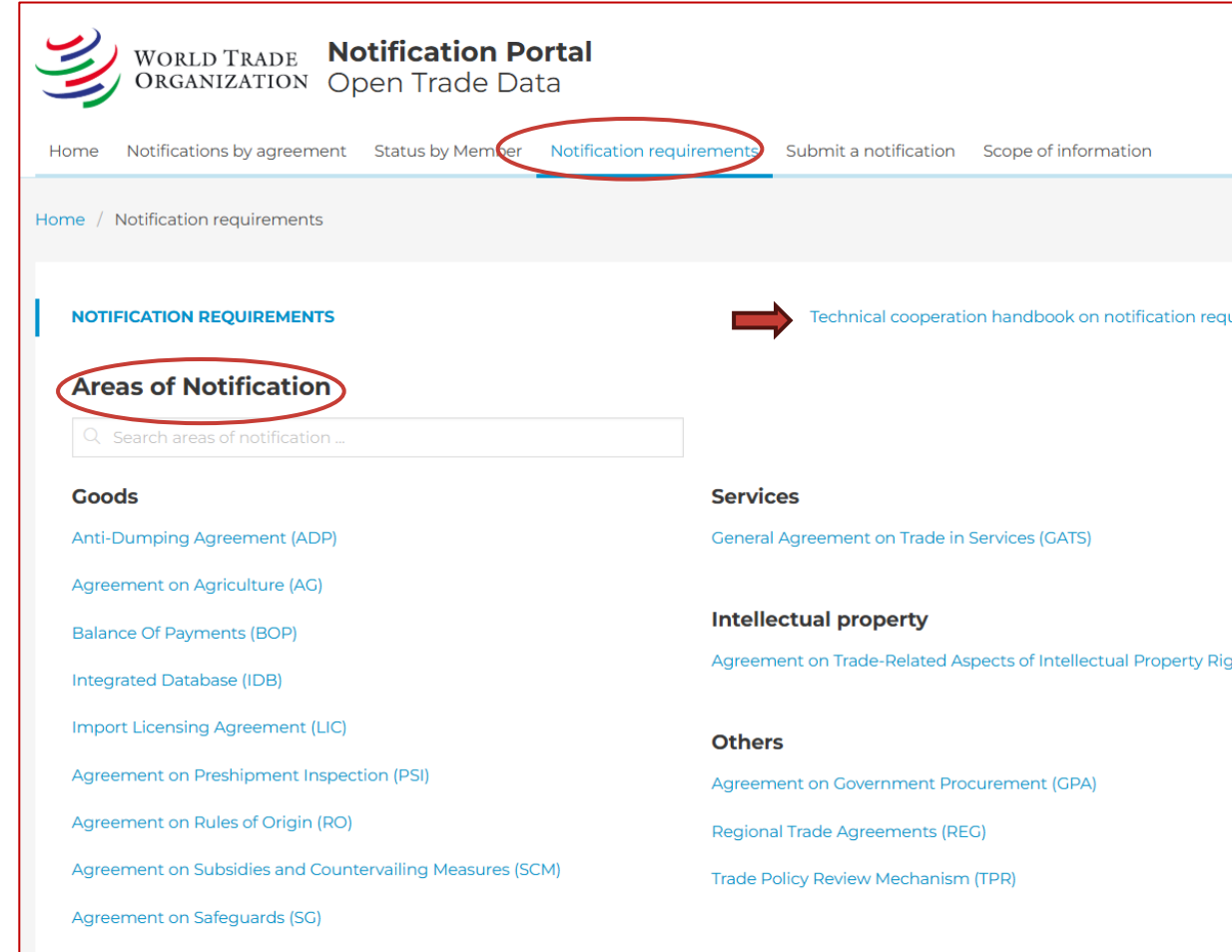
❑ Sources of Information:

- ❑ Technical Cooperation Handbook on Notification Requirements

https://www.wto.org/english/tratop_e/handbook_on_notifications_complete_e.pdf

- ❑ Portal of Notification Portal:

<https://notifications.wto.org/en/notification-requirements>



The screenshot displays the WTO Notification Portal interface. At the top, the logo of the World Trade Organization is visible alongside the text 'WORLD TRADE ORGANIZATION Notification Portal Open Trade Data'. A navigation menu includes 'Home', 'Notifications by agreement', 'Status by Member', 'Notification requirements' (circled in red), 'Submit a notification', and 'Scope of information'. Below the navigation, the breadcrumb 'Home / Notification requirements' is shown. The main content area is titled 'NOTIFICATION REQUIREMENTS' and features a sub-section 'Areas of Notification' (circled in red) with a search bar. The page is organized into four columns: 'Goods', 'Services', 'Intellectual property', and 'Others'. Each column lists various trade agreements, such as 'Anti-Dumping Agreement (ADP)' under Goods and 'General Agreement on Trade in Services (GATS)' under Services. A red arrow points from the 'Areas of Notification' section to the 'Technical cooperation handbook on notification requirements' link.


List of One-time only notifications for the Goods area (1/3) (G/C/W/859- Annex 1)



WTO OMC

Subsidiary body	Acronym used in the report	Notification requirement
ADP	ADP 18.5	Article 18.5 of the Agreement on Anti-dumping requires Members to notify their laws and regulations relevant to this Agreement and in the administration of such laws and regulations, as well as any subsequent amendment.
CCV	CV – Carrier media	Decision on Valuation of Carrier Media Bearing Software for Data Processing Equipment (Section A.4 of document G/VAL/5) requires Members applying the practice referred to in paragraph 2 of this Decision to notify the Committee of the date of its application.
CCV	CV – Checklist	Decision on Checklist of Issues (Section B.3 of document G/VAL/5) requires Members to answer a set of questions.
CCV	CV – Interest charges	Decision on Treatment of Interest Charges in the Customs Value of Imported Goods (Section A.3 of document G/VAL/5) requires Members to notify the date from which it will apply the Decision.
CCV	CVA 22.2	Article 22.2 of the Customs Valuation Agreement requires Members to notify their laws and regulations relevant to this Agreement and in the administration of such laws and regulations.
CCV	PSI 5	Article 5 of the Agreement on Preshipment Inspection requires Members to submit copies of the laws and regulations by which they brought this Agreement into force, as well as copies of any other laws and regulations relating to preshipment inspection, when the WTO Agreement enters into force with respect to the Member concerned.
COA	AGR 18.2 MA1	Article 18.2 of the Agreement on Agriculture. Table MA1 relates to comprehensive one-off notifications concerning the implementation of tariff and other quota commitments.
CRO	RO – Bali & Nairobi	Members establishing or maintaining non-reciprocal preferential arrangements for LDCs are required to notify their preferential rules of origin under paragraph 1.9 of the Ministerial Decision on Preferential Rules of Origin for Least-Developed Countries of 7 December 2013 (WT/MIN(13)/42) and paragraph 4.3 of the Ministerial Decision on Preferential Rules of Origin for Least Developed Countries of 19 December 2015 (WT/MIN(15)/47).
CRO	RO 5.1	Article 5.1 of the Agreement on Rules of Origin requires each Member to notify its practice related to non-preferential rules of origin.

List of One-time only notifications for the Goods area (2/3) (G/C/W/859- Annex 1)

Subsidiary body	Acronym used in the report	Notification requirement
CTF	TFA 1.4	Article 1.4 of the Trade Facilitation Agreement requires Members to notify: (a) the official place(s) where the items in subparagraphs 1.1(a) to (j) of the TFA have been published; (b) the Uniform Resource Locators of website(s) referred to in paragraph 2.1; and (c) the contact information of the enquiry points referred to in paragraph 3.1.
CTF	TFA 10.4.3	Article 10.4.3 of the Trade Facilitation Agreement requires Members to notify the details of operation of their single window.
CTF	TFA 10.6.2	Article 10.6.2 of the Trade Facilitation Agreement requires Members to notify its measures on the use of customs brokers and modifications thereof.
CTF	TFA 12.2	Article 12.2 of the Trade Facilitation Agreement requires Members to notify the details of its contact point for the exchange of information for the purpose of verifying an import or export declaration in identified cases where there are reasonable grounds to doubt the truth or accuracy of the declaration.
LIC	LIC 1.4(a) & 8.2(b)	Articles 1.4(a) and 8.2(b) of the Agreement on Import Licensing Procedures requires Members to notify their laws and regulations relevant to this Agreement and in the administration of such laws and regulations.
LIC	 Amended in G/C/W/886	Article 1.4(a) of the Agreement on Import Licensing Procedures requires Members instituting import procedures to notify a series of information, including the list of products subject to licensing procedures and contact points.
SCM	SCM 32.6	Article 32.6 of the Agreement on Subsidies and Countervailing Measures requires Members to notify their laws and regulations relevant to this Agreement and in the administration of such laws and regulations.
SG	SG 12.6	Article 12.6 of the Agreement on Safeguards requires Members to notify their laws, regulations and administrative procedures relating to safeguard measures, as well as any modifications made to them.
SPS	SPS Annex B P10	Paragraph 10 of Annex B of the SPS Agreement requires Members to designate a Notification Authority.
SPS	SPS Annex B P3	Paragraph 3 of Annex B of the SPS Agreement requires Members to ensure that one SPS Enquiry Point exists.

Subsidiary body	Acronym used in the report	Notification requirement
TBT	TBT 10.1	Article 10.1 of the TBT Agreement requires Members to designate a TBT Enquiry Point.
TBT	TBT 15.2	Article 15.2 of the TBT Agreement requires Members to inform the Committee of measures in existence or taken to ensure the implementation and administration of this Agreement, and changes thereof.
TBT	TBT Annex 3C (Accept. of Code)	Paragraph C of Annex 3 of the TBT Agreement requires standardizing bodies that have accepted or withdrawn from the "Code of Good Practice for the Preparation, Adoption and Application of Standards" to notify this fact to ISO, which posts this information on the WTO ISO Information Gateway and also transmits it to the WTO Secretariat for dissemination.
TRIMS	TRIMs 6.2	Article 6.2 of the Agreement on Trade-Related Investment Measures requires Members to notify the publications in which TRIMs may be found, including those applied by regional and local governments and authorities within their territories.

List of recurrent/ periodic notifications for the Goods area (1/3) (G/C/W/859- Annex 1)

Subsidiary body	Acronym used in the report	Notification requirement
ADP	ADP 16.4	Article 16.4 of the Anti-Dumping Agreement requires Members to submit on a semi-annual basis reports of any anti-dumping actions taken within the preceding six months.
CMA	CMA – IDB – Imports	Paragraph 1.b of the Decision on Modalities and Operation of the Integrated Database (IDB), adopted on 28 May 2019 (G/MA/367), requires Members to notify annually their detailed import statistics.
CMA	CMA – IDB – Tariffs	Paragraph 1.a of the Decision on Modalities and Operation of the Integrated Database (IDB), adopted on 28 May 2019 (G/MA/367), requires Members to notify annually their applied MFN import tariffs.
CMA	CMA – QR	The Decision on Notification of Procedures for Quantitative Restrictions, adopted on 22 June 2012 (G/L/59/Rev.1), requires Members to notify every two years all quantitative restrictions in force.
COA	AGR 10 & 18.2 ES1	Articles 10 and 18.2 of the Agreement on Agriculture. Table ES1 relates to an annual notification by Members with base and annual commitment levels specified in Section II of Part IV of their Schedules of concessions.

List of recurrent/ periodic notifications for the Goods area (2/3) (G/C/W/859- Annex 1)

Subsidiary body	Acronym used in the report	Notification requirement
COA	AGR 10&18.2 ES2	Articles 10 and 18.2 of the Agreement on Agriculture. Table ES2 relates to annual notifications by Members with export subsidy commitments specified in Section II of Part IV of their Schedules of concessions and by "significant exporters" as listed in G/AG/2/Add.1 .
COA	AGR 18.2 DS1	Article 18.2 of the Agreement on Agriculture. Table DS1 relates to an annual notification by Members with base and annual commitment levels specified in Section I of Part IV of their Schedules of concessions.
COA	AGR 18.2 MA2	Article 18.2 of the Agreement on Agriculture. Table MA2 relates to Imports under tariff and other quotas.
COA	AGR 5.7&18.2 MA5	Articles 5.7 and 18.2 of the Agreement on Agriculture. Table MA5 relates to an annual requirement by Members that have reserved the right to have recourse to the Special Safeguard provisions in their Schedules of concessions.
LIC	LIC 7.3	Article 7.3 of the Agreement on Import Licensing Procedures requires Members to notify the Questionnaire on Import Licensing Procedures annually.
SCM	SCM 25.1 & GATT XVI:1	Article 25.1 of the Agreement on Subsidies and Countervailing Measures and Article XVI:1 of the GATT 1994 require Members to notify annually the subsidies they provide. However, in May 2001, the SCM Committee decided that new and full subsidy notifications would be made every two years, and that the annual updating notifications would be de-emphasized.

List of recurrent/ periodic notifications for the Goods area (2/3) (G/C/W/859- Annex 1)

Subsidiary body	Acronym used in the report	Notification requirement
SCM	SCM 25.11	Article 25.1 of the Agreement on Subsidies and Countervailing Measures requires Members to submit a report of all countervailing duty actions they have taken within the preceding six months, as well as a list of all countervailing measures in force on a semi-annual basis.
STE	STE - GATT XVII:4(a)	Article XVII:4(a) of the GATT 1994 requires Members to notify the products which are imported into or exported from their territories by enterprises of the kind described in paragraph 1(a) of this Article.

Notification Requirements	Periodicity
Change in regulation significantly affecting trade in services (III:3)	Ad hoc
Establishment of enquiry points and changes (III:4)	One time / Ad hoc
Establishment of contact points for developed Members (IV:2)	One time / Ad hoc
Conclusion of, or accession to, an Economic Integration Agreement (V:7a)	One time
Significant modification of an RTA liberalizing trade in services (V:7a)	Ad hoc
Labour market integration Agreements (V bis (b))	Ad hoc
Existing or new recognition measures and modifications (VII:4a)	One time / Ad hoc
Opening of negotiation on recognition (VII:4b)	Ad hoc
Adoption/modification of recognition measures (VII:4c)	Ad hoc
Granting of new monopoly rights (VIII:4)	Ad hoc
Exclusive service suppliers (VIII:5)	Ad hoc
Emergency safeguard measures (X:2)	Ad hoc
Modifications to schedules of specific commitments (XXI:1b)	Ad hoc
Treatment of permanent residents as nationals (XXVIII k)	One time
MFN exemption termination	One time

Notification Requirements in TRIPS

Requirement	Periodicity
Laws and regulations and changes (Article 63.2)	One time / Ad hoc
IP enforcement law and practices (Checklist)	One time / Ad hoc
Limited eligibility criteria (Article 1.3 Phonograms/Broadcast)	Ad hoc
MFN treatment limitations based on pre-WTO agreements (4d)	Ad hoc
Contact points (Article 69 and Technical Cooperation)	One time / Ad hoc
Technology Transfer incentive reports (Article 66.2)	Annual / Triennial

Status of Notifications

❑ Notification Portal

❑ Goods:

❑ G/L/223 document series

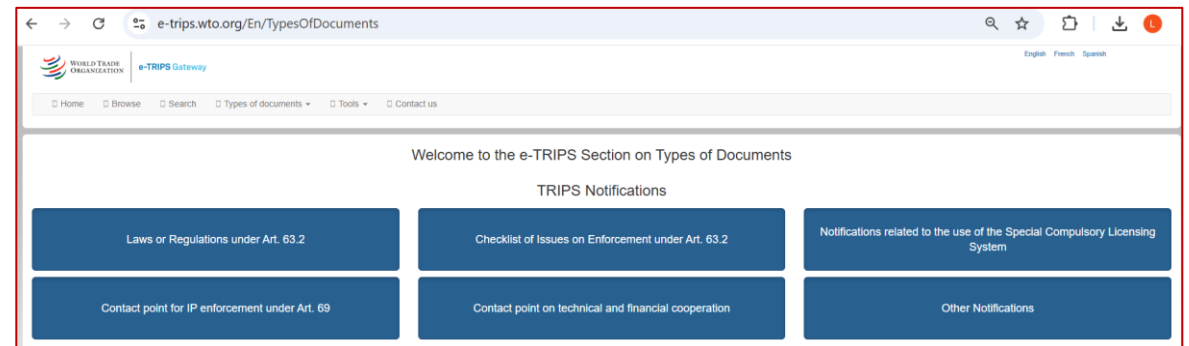
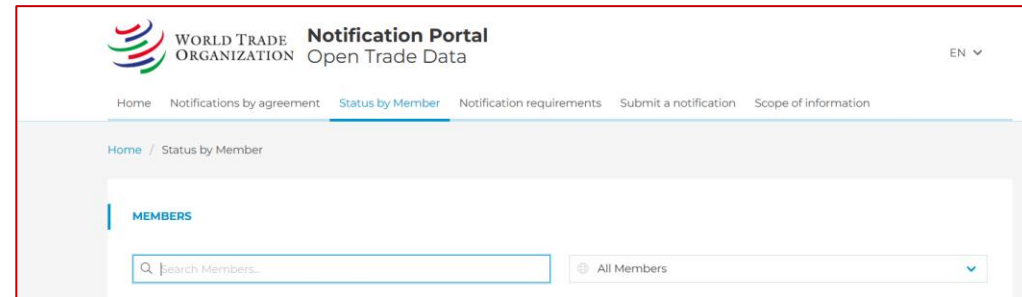
❑ G/C/W/886

❑ List of Secretariat Reports for CTG's Subsidiary Bodies: JOB/CTG/53/Rev.1

❑ Services:

❑ Job(09)/10/Rev.14

❑ **TRIPS:** <https://e-trips.wto.org/>



Notifications “compliance” has many dimensions



Have Members submitted the information?

Timeliness

Quality

Completeness

Focus of the new CTG report

Requires data that is currently not available in database format

Can only be assessed by Members

New report on submission rates (G/C/W/859)

Data sources

1. Central Registry of Notifications (CRN)
2. Notifications Portal
3. Divisional tables and data

Even with these sources, it was necessary to reconstruct some of the information based on the notifications in [Documents Online](#)



Types of notifications

Defined by Members in the 1996 report of the **Working Group on Notification Obligations and Procedures** which at the time considered 175 notification obligations. (See G/L/112, para. 13).

Some notification requirements have changed over the years with new agreements and decisions.

Ad hoc

- Notifications that are required when Members take certain actions



One-time only

- Notifications that require a Member to provide information for certain measures at the entry into force of the Agreement or within a certain period of time from that date



23

Regular or periodic notifications

- Notifications that require Members to provide information regularly; e.g. on a semi-annual, annual, biennial, or triennial basis



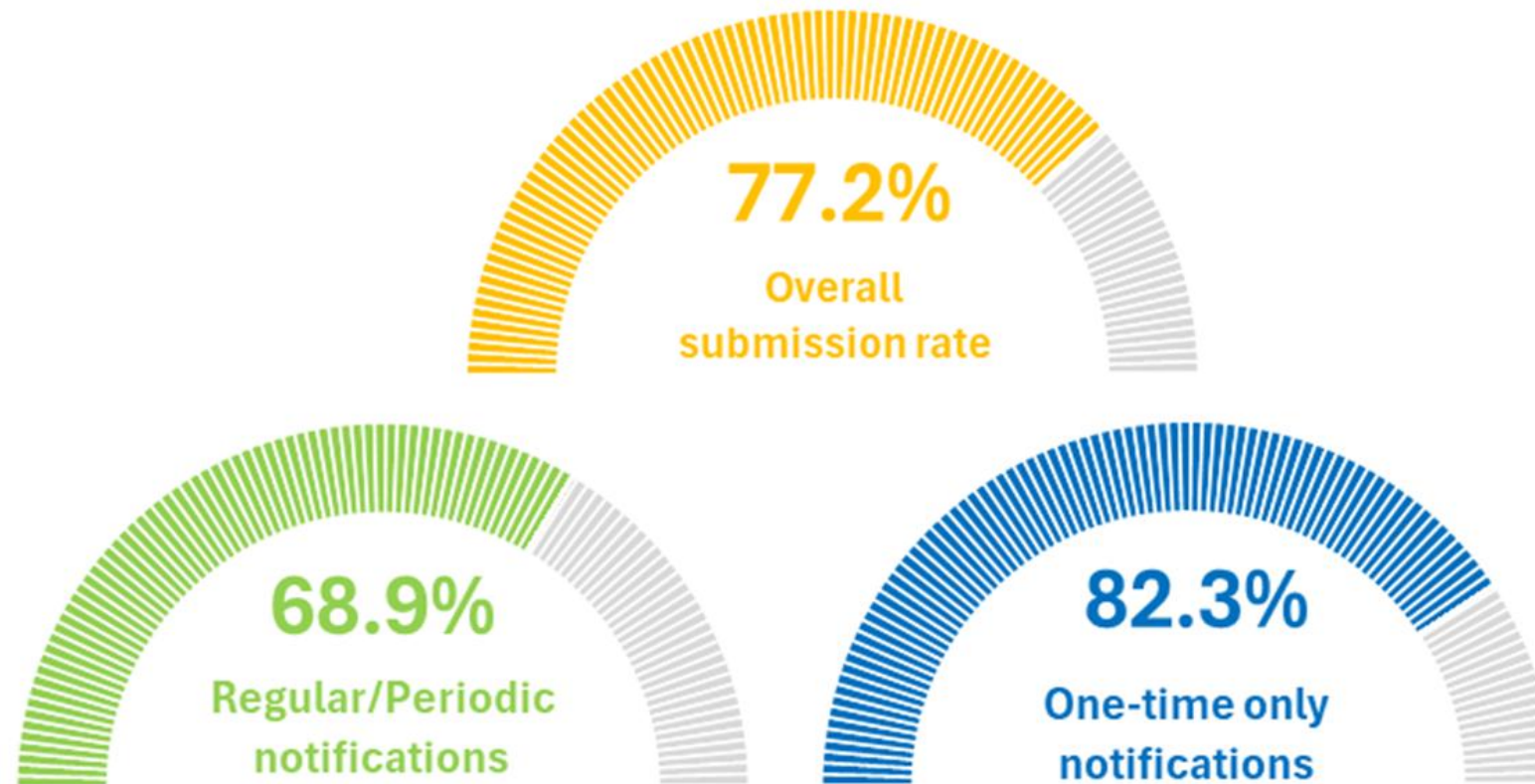
13

“Submission rates”

$$\textit{Submission rate} = \frac{(\textit{No. of notifications submitted})}{(\textit{Expected No. of notifications})} \times 100$$

- Calculated as of 31 December 2024 for all notifications
- Relatively straightforward for one-time only; more challenging for the regular or periodic notifications
- How to count the EU and its Member states and Brexit? Only methodological point where there is variation across Committees.
- How to deal with LDC graduations? Different periodicity before and after graduation
- Etc.

Overall submission rates

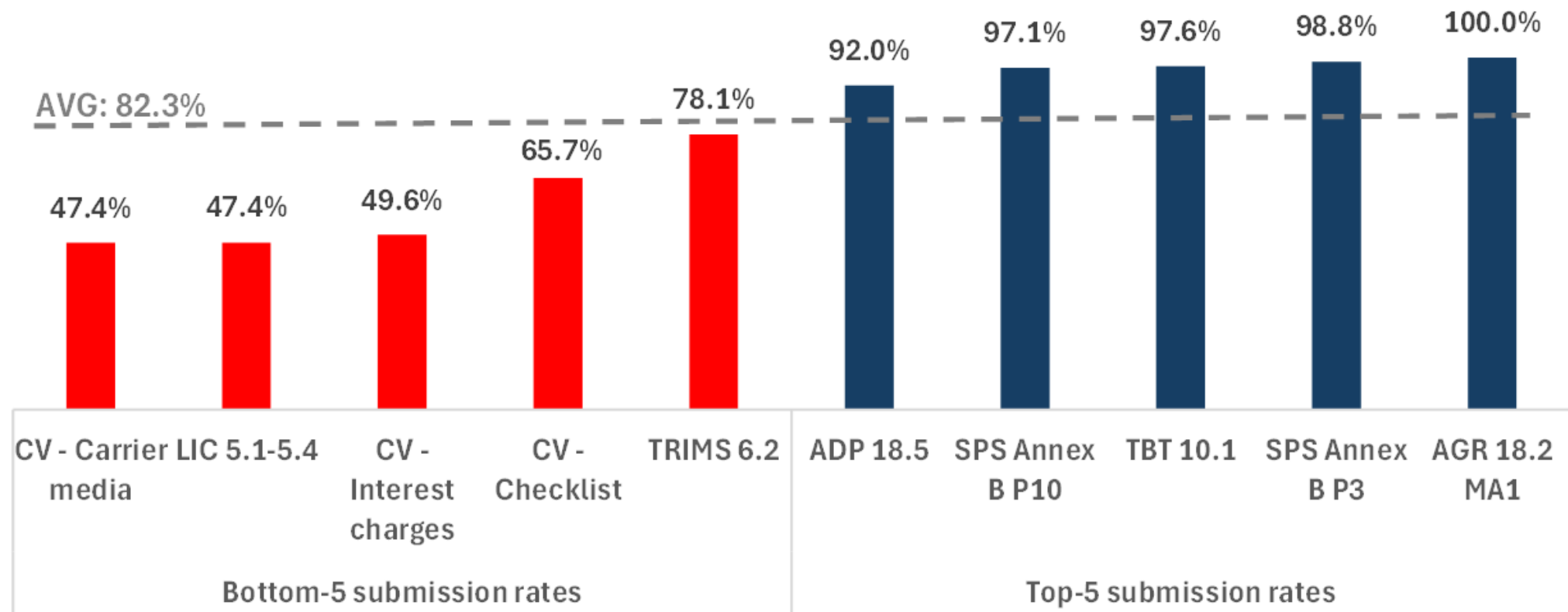


Also calculated for **LDCs**: **53.2%** overall; **39.9%** for regular/periodic; and **60.2%** for one-time only

Source: [G/C/W/859](#)

One-time only notifications

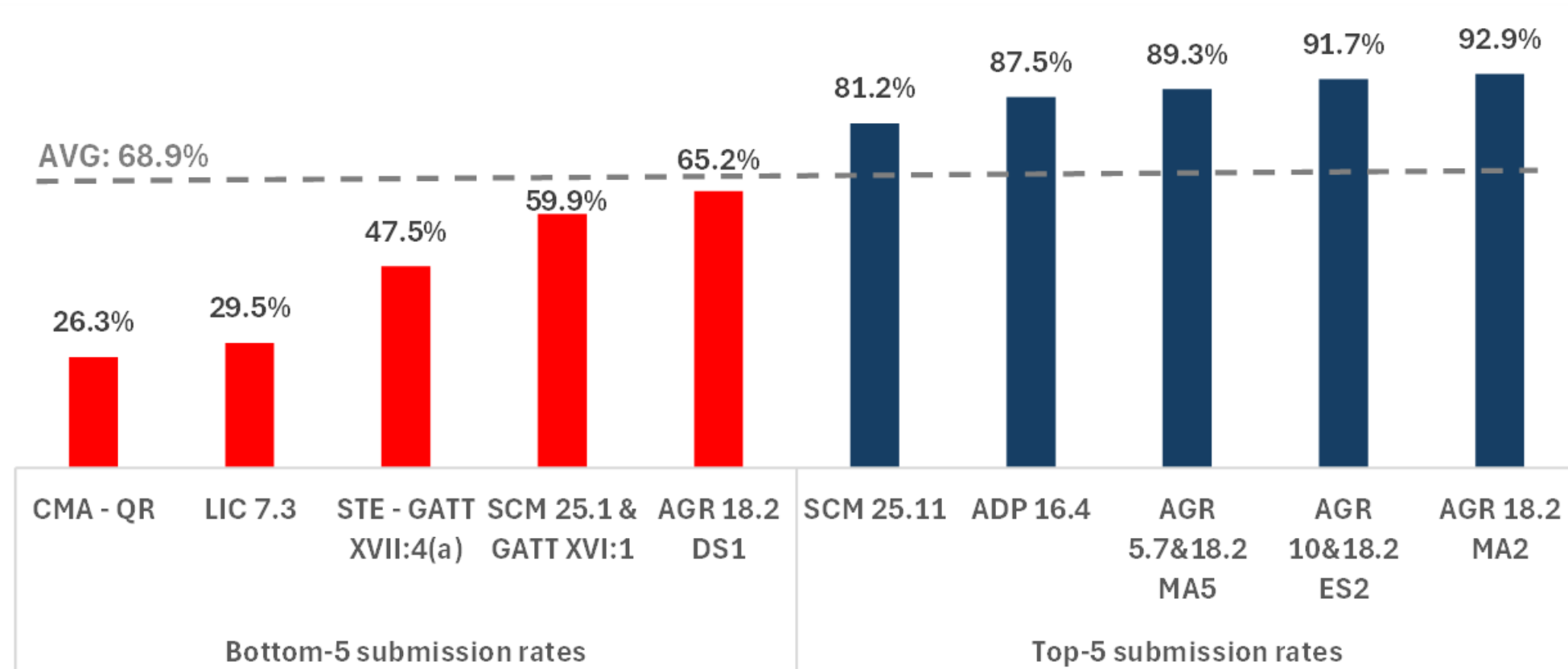
Figure 2. One-time only notifications: bottom five and top five submission rates for all Members



Source: G/C/W/859

Regular / Periodic notifications

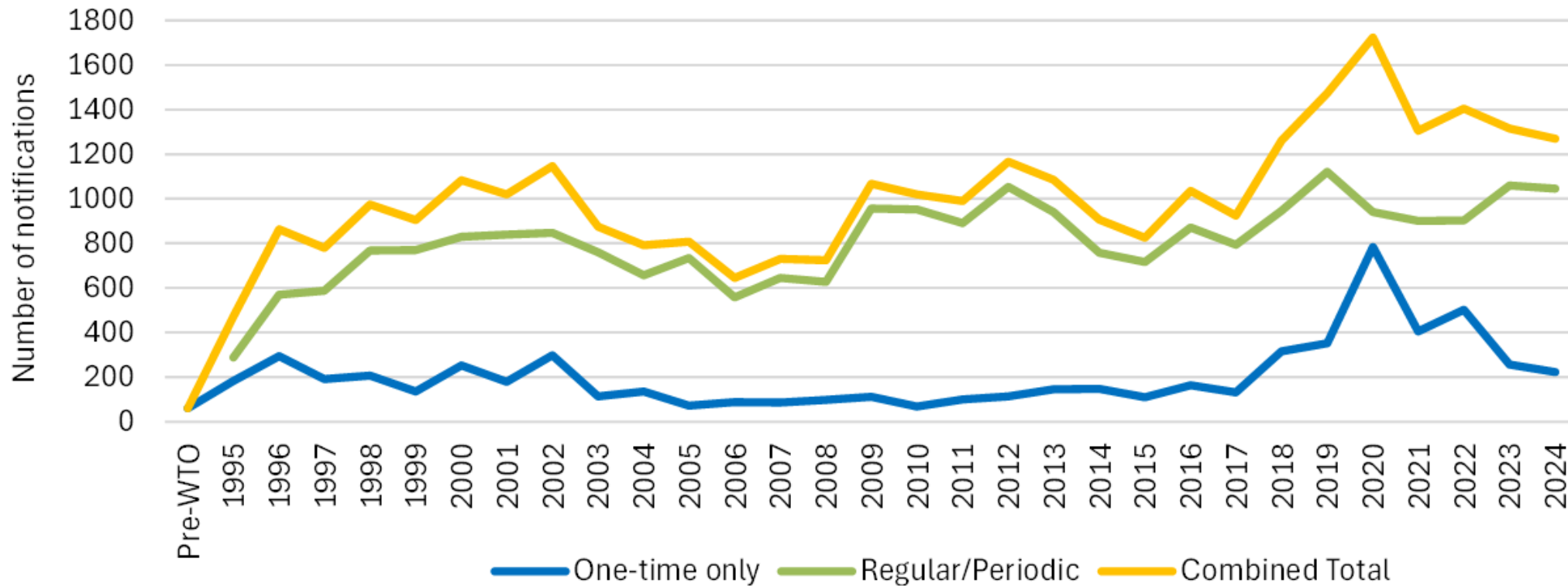
Figure 4. Regular/periodic notifications: bottom five and top five submission rates for all Members



Source: G/C/W/859

Number of notifications submitted per year

Figure 6. Number of one-time only and regular/periodic notifications, all Members, 1995-2024



Source: G/C/W/859

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